

PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/753,293

Filing Date 01/08/2004

First Named Inventor Elizabeth M. Walker et al.

Art Unit 1714

Examiner Name

Attorney Docket Number 1971A.EEM

ENCLOSURES (Check all that apply)

- | | | |
|---------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="text"/> Remarks | |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

National Starch and Chemical

Signature

Printed name

Charles W. Almer

Date

6/28/05

Reg. No.

36,731

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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Anne Marie Pickel

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6/28/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The PTO did not receive the following listed items(s) FOKs



Attorney Docket No. 1971A.EEM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Elizabeth M. Walker et al.

Serial number: 10/753,293

Filing Date: 01/08/2004

Group Art unit: 1714

Title: Fluid Resistant Silicone Encapsulant

Examiner:

SUPPLEMENTAL PARAGRAPHS TO USPTO 1449
INFORMATION DISCLOSURE STATEMENT

To Commissioner of Patents:

Sir: Applicant requests that the information disclosed on the accompanying PTO Form 1449 be considered by the Patent Office for the reasons checked here:

- ☐ It is being filed pursuant to 37 CFR § 1.97(b)(1) within three months of the filing date. No fee is required.
- ☐ It is being filed pursuant to 37 CFR § 1.97(b)(3) or (4) before the mailing date of a first office action on the merits. No fee is required.
- ☐ It is being filed pursuant to 37 CFR § 1.97(c)(1), before the mailing date of a final action or before a notice of allowance accompanied with the below statement. No fee is required.

The information contained in the Information Disclosure Statement PTO form 1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

- ☒ In the event that a first Office Action has already issued and is crossing in the mail with this information, it is being filed pursuant to 37 CFR § 1.97(c)(2), before the mailing date of a final action or before a notice of allowance and is accompanied with the fee set forth in § 1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.
- ☐ It is being filed pursuant to 37 CFR § 1.97(c)(2), before the mailing date of a final action or before a notice of allowance and is accompanied with the fee set forth in § 1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.
- ☐ It is being filed pursuant to 37 CFR § 1.97(d)(1) and (2), after the mailing date of a final action or after a notice of allowance, but before or simultaneously with the payment of the issue fee, and is accompanied with the below statement and the fee set forth in § 1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.

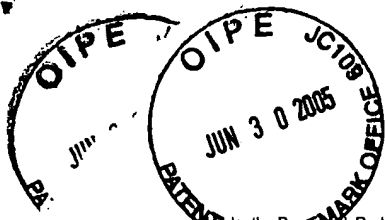
The information contained in the Information Disclosure Statement PTO form 1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

Charles W. Almer
Reg. No. 36,731 - Attorney for Applicant
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10 Funderne Avenue, Bridgewater, New Jersey 08807

#65565



PTO/SB/08A (10-01)

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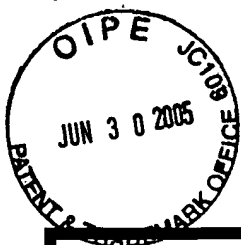
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Substitute for Form PTO-1449A/PTO		Attorney Docket Number: 1971A.EEM		Application No.: 10/753,293	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		EXAMINER NAME:			
(use as many sheets as necessary)		First Named Inventor: Elizabeth M. Walker et al.			
Sheet 1 of 2		Filing Date: 01/08/2004		Group Art: 1714	
U.S. PATENT DOCUMENTS					
*Examiner Initials	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages
		US- 4,587,137	05/86	Eckberg	
		US- 4,683,277	07/87	Maxson	
		US- 4,686,764	08/87	Adams et al.	
		US- 4,877,820	10/89	Cowan	
		US- 4,900,779	02/90	Liebfried	
		US- 4,902,731	02/90	Liebfried	
		US- 5,013,809	05/91	Liebfried	
		US- 5,037,932	08/91	Maxson et al.	
		US- 5,059,649	10/91	Mason et al.	
		US- 5,077,134	12/91	Liebfried	
		US- 5,086,147	02/92	Ikeno et al.	
		US- 5,124,423	06/92	Liebfried	
		US- 5,171,817	12/92	Barnum et al.	
		US- 5,196,498	03/93	Liebfried	
FOREIGN PATENT DOCUMENTS					
*Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages
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Substitute for Form PTO-1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Attorney Docket Number: 1971A.EEM EXAMINER NAME: First Named Inventor: Elizabeth M. Walker et al. Filing Date: 01/08/2004		Application No.: 10/753,293 Group Art: 1714	
U.S. PATENT DOCUMENTS					
*Examiner Initials	Cite No. ¹	Document Number Number – Kind Code ² (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages
		US- 5,288,829	02/94	Takago et al.	
		US- 5,334,688	08/94	Loo	
		US- 5,349,037	09/94	Fujiki et al.	
		US- 5,354,830	10/94	Williams	
		US- 5,491,249	02/96	Kostas	
		US- 5,519,096	05/96	Hara	
		US- 5,529,822	06/96	Togashi et al.	
		US- 5,532,294	07/96	Ikeno et al.	
		US- 5,580,921	12/96	Stepp et al.	
		US- 5,645,941	07/97	Meguriya et al.	
		US- 5,705,586	01/98	Sato et al.	
		US- 5,753,751	05/98	Liao et al.	
		US- 5,837,774	11/98	Tarumi et al.	
		US- 6,124,407	09/00	Lee et al.	
		US- 6,359,098	03/02	Fehn et al.	
FOREIGN PATENT DOCUMENTS					
*Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		EXAMINER NAME:			
Sheet 1 of 1		First Named Inventor: Elizabeth M. Walker et al.			
		Filing Date: 01/08/2004		Group Art: 1714	
U.S. PATENT DOCUMENTS					
*Examiner Initials	Cite No. ¹	Document Number Number – Kind Code ² (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages
		US-			
		US-			
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		US-			
FOREIGN PATENT DOCUMENTS					
*Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages
		EP 0 482 404	29.04.92	Barnum et al.	
		EP 0 676 450	11.10.95	Ikino et al.	
		EP 0 539 201	11.03.98	Ikeno et al.	
		EP 1 081 192	07.03.01	Fukuda et al.	
		EP 1 172 415	16.01.02	Matsuda et al.	
		EP 1 122 284	08.08.01	Shiono et al.	
		EP 0 688 762	14.11.01	Sato et al.	
		EP 1 167 453	02.01.02	Sakano, et al.	
		EP 1 170 320	09.01.02	Sato et al.	
EXAMINER SIGNATURE			DATE CONSIDERED		
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